

# Greenberg Traurig

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February 2, 2006

Via Facsimile: 571-273-6500

United States Patent and Trademark Office  
Attn: Refund Branch

Re: Refund to Deposit Account No. 15-0184  
U.S. Patent Application entitled: **WAFER-LEVEL MOAT STRUCTURES**  
Application No.: 10/672,165  
Filing Date: September 26, 2003  
Inventor(s): Michael E. Johnson, et al.  
Our Ref.: 72145-012400

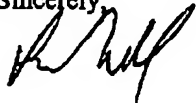
Dear Sir/Madam::

This letter is written to you requesting a refund to our deposit account number 15-0184.

We received an Final Office Action dated July 12, 2005 which we responded to with a one month extension on October 18, 2005. We were charged for the one month extension, in the amount of \$120.00, on October 20, 2005. After that charge we received an Advisory action dated October 25, 2005, which we then responded to with a Supplemental Amendment and Response to Final Action on December 15, 2005, for which an extension of time is not necessary when the examiner has withdrawn the finality of the action and a supplemental reply is submitted solely at the request of the examiner. However, we were charged for a three month extension of time for this application on December 21, 2005. Because it was not necessary for us to pay the three month extension of time please refund our deposit account in the amount of \$1020.00. Please deposit this amount into our deposit account under the name of Greenberg Traurig, LLP with deposit account number 15-0184.

If you have any questions, please do not hesitate to contact me. We look forward to receiving this refund promptly.

Sincerely,



Bruce T. Neel  
Reg. No. 37,406

BTN:mjl

phx-611530716v0172145.012400

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Traurig**FAXED BY  


## Transmittal Cover Sheet

From:  
Bruce T. NeelTel:  
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NeelT@gtlaw.com

| To:                 | Fax No:      | Company:                                     | Phone No.: |
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| ATTN: Refund Branch | 571-273-6500 | United States Patent and<br>Trademark Office |            |

File No.: 72145.012400

Re: Refund to Deposit Account

Date: February 2, 2006 09:36 AM

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|   |                               |                                |  |
|---|-------------------------------|--------------------------------|--|
| <b>Advisory Action</b><br><b>Before the Filing of an Appeal Brief</b> | Application No.<br>10/672,165 | Applicant(s)<br>JOHNSON ET AL. |  |
|   | Examiner<br>Thao P. Le        | Art Unit<br>2818               |  |

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED 18 October 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☐ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2. ☐ The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3. ☒ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
(a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);  
(b) ☐ They raise the issue of new matter (see NOTE below);  
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: The amended claim 1 contains limitations that require further search; a moat for containing liquefied material that flows to the moat, wherein the liquefied material is provided for forming around a solder ball. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  
5. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.  
6. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  
7. ☐ For purposes of appeal, the proposed amendment(s): a) ☐ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  
The status of the claim(s) is (or will be) as follows:  
Claim(s) allowed: \_\_\_\_\_.  
Claim(s) objected to: \_\_\_\_\_.  
Claim(s) rejected: \_\_\_\_\_.  
Claim(s) withdrawn from consideration: \_\_\_\_\_.

**AFFIDAVIT OR OTHER EVIDENCE**

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).  
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).  
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

11. ☐ The request for reconsideration has been considered but does NOT place the application in condition for allowance because: \_\_\_\_\_  
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). \_\_\_\_\_  
13. ☐ Other: \_\_\_\_\_

*Thao P. Le*  
Thao P. Le  
10/25/05

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- Sales Receipt -

12/21/2005 YSHORT 00000001 150184 10672165

01 FC:1253 1020.00 DA

Adjustment date: 02/27/2006 EEKUBAY1  
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